



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARK
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
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09/627593

10/13/00

TETSU

305T-900500 US

EXAMINER

KATCHEVES

ART UNIT	PAPER NUMBER
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1636

DATE MAILED:

INTERVIEW SUMMARY

All participants (applicant, applicant's representative, PTO personnel):

(1) Konstantina Katcheva

(3) Suzanne Pleasure

(2) Lemy Yucel

(4) John White

Date of Interview 7/8/03

Type: ☒ Telephonic ☐ Televideo Conference ☐ Personal (copy is given to ☐ applicant ☐ applicant's representative).

Exhibit shown or demonstration conducted: ☐ Yes ☒ No If yes, brief description: _____

Agreement ☒ was reached. ☐ was not reached. in part.

Claim(s) discussed: all pending

Identification of prior art discussed: Karin et al. & Bunker et al.

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: _____

Applicant's representative requested that finality be withdrawn. Upon review of the prior action it was agreed that finality was improper. Applicant discussed the nature of the limitations and generally discussed the sections of record. Applicant was informed

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

☐ It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph above has been checked to indicate to the contrary, A FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW.

Examiner Note: You must sign this form unless it is an attachment to another form.

Konstantina Katcheva

Manual of Patent Examining Procedure, Section 713.04 Substance of Interview must Be Made of Record

Except as otherwise provided, a complete written statement as to the substance of any face-to-face or telephone interview with regard to an application must be made of record in the application, whether or not an agreement with the examiner was reached at the interview.

§1133 Interviews

b. In every instance where records retention is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity, for the PTO Office action as specified in §§ 1131 and 1135 (35 U.S.C. 132).

§ 112. Business to be transacted in writing. All business with the Patent and Trademark Office shall be transacted in writing. The personal attendance of an applicant or his or her agent at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office file, although written correspondence may be admitted orally as evidence in the event of a dispute, provided there is no substantial doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office file that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete a two sheet carbon interview Interview Summary Form for each interview held after January 1, 1979 where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks in neat handwritten form using a ball point pen. Discussions regarding only procedural matters directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, pointing out typographical errors or unreadable script in Office actions or the like, or resulting in an examiner's amendment that fully sets forth the agreement are excluded from the interview recordation procedures below.

The Interview Summary Form shall be given an appropriate patent number placed in the right hand portion of the file and placed in the Contents section of the file wrapper. In a personal interview, the duplicate copy of the Form is removed and given to the applicant or attorney or agent at the conclusion of the interview. In the case of a telephonic interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication.

The Form provides for recordation of the following information:

- Application Number of the application
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (personal or telephonic)
- Name of participant(s) (applicant, attorney or agent, etc.)
- An indication whether or not an exhibit was shown (a demonstration is indicated)
- An identification of the claims discussed
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and the substance of the prior art or the applicant's position, and the examiner's position, if any, in the event of an agreement, and the substance of the prior art or the examiner's position, if any, in the event of a disagreement
- The signature of the examiner who conducted the interview
- Names of other Patent and Trademark Office personnel present

The Form is a complete statement in regard to the applicant's case as discussed in the interview and is to be filed in the application file.

It is the responsibility of the examiner to ensure that the Form is completed and filed in the application file. The Form is to be filed in the application file in the event of a disagreement between the examiner and the applicant or attorney or agent. The Form is to be filed in the application file in the event of an agreement between the examiner and the applicant or attorney or agent. The Form is to be filed in the application file in the event of a disagreement between the examiner and the applicant or attorney or agent. The Form is to be filed in the application file in the event of an agreement between the examiner and the applicant or attorney or agent.

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It is the responsibility of the applicant or attorney or agent to ensure that the Form is completed and filed in the application file. The Form is to be filed in the application file in the event of a disagreement between the examiner and the applicant or attorney or agent. The Form is to be filed in the application file in the event of an agreement between the examiner and the applicant or attorney or agent.

APPENDIX 1 - Interview Summary Form

The Interview Summary Form is a two sheet carbon form. The first sheet is to be filled out by the examiner and the second sheet is to be filled out by the applicant or attorney or agent. The Form is to be filed in the application file in the event of a disagreement between the examiner and the applicant or attorney or agent. The Form is to be filed in the application file in the event of an agreement between the examiner and the applicant or attorney or agent.